



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,338	01/23/2002	Yoshiyuki Imanaka	03500.013949.1

5514  
FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



CONFIRMATION NO. 8506

## FORMALITIES LETTER



\*OC000000007870934\*

Date Mailed: 04/16/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

The following item(s) appear to have been **omitted** from the application:

- Page(s) **25-47** of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

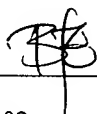
III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively

electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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03CO #3

03500.013949.1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
YOSHIYUKI IMANAKA ET AL. ) Examiner: D. Yockey  
Application No.: 10/052,338 ) Group Art Unit: 2861  
Filed: January 23, 2002 )  
For: HEAD SUBSTRATE HAVING )  
DATA MEMORY PRINTING )  
APPARATUS AND PRODUCING )  
METHOD THEREFOR ) May 13, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL  
PROCESSING AND CORRESPONDENCE BRANCH**

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED mailed April 16, 2002, enclosed please find  
pages 25-47 of the specification and the Abstract. Also enclosed is a copy of the NOTICE  
TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED.

Applicants do not believe that any fee is required in response to this Notice  
since the attached copy of a stamped return postcard confirms that the Patent and  
Trademark Office has previously acknowledged that receipt of the complete application on  
January 23, 2002, and Applicants believe that the application included pages 25-47 of the

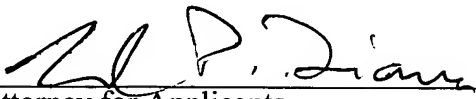
06/18/2002 AKELLEY 00000025 061205 10052338

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specification and the Abstract. However, if any fee is deemed required by the Commissioner, the Commissioner is authorized to charge any such fees, and to credit any overpayment, to Deposit Account No. 06-1205.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. B. 296

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

NY\_MAIN 259747 v 1



Director, the Commissioner for Patents  
Washington, D.C. 20231

Date 01/23/02  
Mo. Day Yr.

Atty. Docket 0350, 03949.1

Sir:

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 61 pages, with Transmittal Form  
☒ Oath or Declaration and Power of Attorney ☒ Executed ☐ Not Executed  
☒ 12 Sheets of 1 formal 1 informal drawings

☒ Check for \$ 2814.00 (filing fee)

J1017 U.S. PTO  
10/052338

☐ Small entity declaration

☐ Assignment, PTO-1595 and Check for \$ \_\_\_\_\_

☐ Transmittal Under 37 CFR 1.53(d) (CPA)

☐ Petition under 37 CFR 1.136 and check for \$ \_\_\_\_\_

☒ Other (specify)

*Prolegomena, Information Disclosure Statement and Application Data Sheet*  
by placing your receiving date stamp hereon and mailing or returning to deliverer.

This is a ☐ Continuation ☒ Divisional ☐ Continuation-In-Part

Atty. PJT/mh

Due Date 1/18/02  
Mo. Day Yr.

37 CFR 1.8 ☐

37 CFR 1.10 ☐

By Hand ☒

FOHS-A-95